

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATION CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
785-011767-US (PAR)	CLASS	SUBCLASS		

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT /EP02/11830, filed on 23.10.02 entitled Substituted benzo[b]azepin-2-one compounds which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		40 - 20 =	20	x \$ <u>18</u> =	\$ 360
INDEPENDENT CLAIMS (37 CFR 1.16(b))		1 - 3 =	0	x \$ <u>86</u> =	
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				x \$ ----- =	
	BASIC FEE (37 CFR 1.16(a))				770.00
	Total of above Calculations =				1,130.00
	Reduction by 50% for filing small entity (Note 37 CFR 1.27).				1.27.
	Total =				1,130.00

- Enclosed are the specification, claims and drawing(s).
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ The Director is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment of Deposit Account No. 16-1350. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 1130.00 is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ Application Data Sheet is enclosed. See 37 CFR 1.76.
- ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:
☒ Continuation ☐ Divisional of prior PCT application No.: PCT/EP02/11830, filed 8/8/2002
[Page 1 of 2]

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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8. ☐ A declaration under CFR 1.63 is enclosed.

9. ☒ Priority of foreign application number DE 101 53 348.9, filed on 29.10.01
in Germany is claimed under 35 U.S.C. 119(a)-(d).

☐ The certified copy is enclosed.

10. ☒ A preliminary amendment is enclosed.

11. ☒ Also enclosed:

IDS, PCT, Intl. Search Report, German Search Report, PTO-Form 1449, references, front page of WO Publication

Address all future correspondence to: (May only be completed by applicant, or attorney or agent or record).

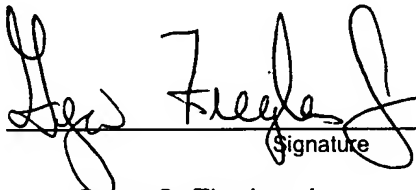
Geza C. Ziegler, Jr.

PERMAN & GREEN, LLP, 425 Post Road, Fairfield, CT 06824

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

14 April 2004
Date

(203) 259-1800
Telephone Number


Signature
Geza C. Ziegler, Jr.
Typed or printed name

44,004

Registration Number, if applicable

☐ Inventor(s)/Applicant(s)

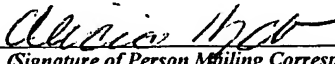
☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☐ Attorney or agent of record

☒ Filed under 37 CFR 1.34(a)
Registration number if acting under 37 CFR 1.34(a) 44,004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): SATTLEGGER et al.			Docket No. 785-011767-US (PAR)	
Serial No. To Be Assigned	Filing Date Herewith	Examiner	Group Art Unit	
Invention: SUBSTITUTED BENZO[B]AZEPIN-2-ONE COMPOUNDS				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> Continuation of an International Application and Corresponding Papers </div> <p style="text-align: center; font-size: small;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA Mail Stop Patent Application 22313-1450 on <u>April 14, 2004</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"> <div style="margin-bottom: 10px;"> <u>Alicia Czako</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i> </div> <div style="margin-bottom: 10px;">  <i>(Signature of Person Mailing Correspondence)</i> </div> <div> <u>EL990505256US</u> <i>("Express Mail" Mailing Label Number)</i> </div> </div>				
<p>Note: Each paper must have its own certificate of mailing.</p>				